

| ISSUE CLASSIFICATION | |
|----------------------|----------|
| Class | Subclass |
| | |

PATENT NUMBER

U.S. UTILITY Patent Application

| | |
|-----------------------------------------------------------------|-------------|
| O.I.P.E. R+ <i>PS</i> SCANNED: <i>PS</i> Q.A. <i>A.G.</i> | PATENT DATE |
|-----------------------------------------------------------------|-------------|

| | | | | | |
|------------------------------|------------|--------------|----------|------------|--------------------------------|
| APPLICATION NO. 09/617853 | CONT/PRIOR | CLASS 705 | SUBCLASS | 21 7604 | EXAMINER <i>[Signature]</i> |
|------------------------------|------------|--------------|----------|------------|--------------------------------|

| TITLE | APPLICANTS |
|---------------------|------------|
| 1. <i>General</i> | |
| 2. <i>General</i> | |
| 3. <i>General</i> | |
| 4. <i>General</i> | |
| 5. <i>General</i> | |
| 6. <i>General</i> | |
| 7. <i>General</i> | |
| 8. <i>General</i> | |
| 9. <i>General</i> | |
| 10. <i>General</i> | |
| 11. <i>General</i> | |
| 12. <i>General</i> | |
| 13. <i>General</i> | |
| 14. <i>General</i> | |
| 15. <i>General</i> | |
| 16. <i>General</i> | |
| 17. <i>General</i> | |
| 18. <i>General</i> | |
| 19. <i>General</i> | |
| 20. <i>General</i> | |
| 21. <i>General</i> | |
| 22. <i>General</i> | |
| 23. <i>General</i> | |
| 24. <i>General</i> | |
| 25. <i>General</i> | |
| 26. <i>General</i> | |
| 27. <i>General</i> | |
| 28. <i>General</i> | |
| 29. <i>General</i> | |
| 30. <i>General</i> | |
| 31. <i>General</i> | |
| 32. <i>General</i> | |
| 33. <i>General</i> | |
| 34. <i>General</i> | |
| 35. <i>General</i> | |
| 36. <i>General</i> | |
| 37. <i>General</i> | |
| 38. <i>General</i> | |
| 39. <i>General</i> | |
| 40. <i>General</i> | |
| 41. <i>General</i> | |
| 42. <i>General</i> | |
| 43. <i>General</i> | |
| 44. <i>General</i> | |
| 45. <i>General</i> | |
| 46. <i>General</i> | |
| 47. <i>General</i> | |
| 48. <i>General</i> | |
| 49. <i>General</i> | |
| 50. <i>General</i> | |
| 51. <i>General</i> | |
| 52. <i>General</i> | |
| 53. <i>General</i> | |
| 54. <i>General</i> | |
| 55. <i>General</i> | |
| 56. <i>General</i> | |
| 57. <i>General</i> | |
| 58. <i>General</i> | |
| 59. <i>General</i> | |
| 60. <i>General</i> | |
| 61. <i>General</i> | |
| 62. <i>General</i> | |
| 63. <i>General</i> | |
| 64. <i>General</i> | |
| 65. <i>General</i> | |
| 66. <i>General</i> | |
| 67. <i>General</i> | |
| 68. <i>General</i> | |
| 69. <i>General</i> | |
| 70. <i>General</i> | |
| 71. <i>General</i> | |
| 72. <i>General</i> | |
| 73. <i>General</i> | |
| 74. <i>General</i> | |
| 75. <i>General</i> | |
| 76. <i>General</i> | |
| 77. <i>General</i> | |
| 78. <i>General</i> | |
| 79. <i>General</i> | |
| 80. <i>General</i> | |
| 81. <i>General</i> | |
| 82. <i>General</i> | |
| 83. <i>General</i> | |
| 84. <i>General</i> | |
| 85. <i>General</i> | |
| 86. <i>General</i> | |
| 87. <i>General</i> | |
| 88. <i>General</i> | |
| 89. <i>General</i> | |
| 90. <i>General</i> | |
| 91. <i>General</i> | |
| 92. <i>General</i> | |
| 93. <i>General</i> | |
| 94. <i>General</i> | |
| 95. <i>General</i> | |
| 96. <i>General</i> | |
| 97. <i>General</i> | |
| 98. <i>General</i> | |
| 99. <i>General</i> | |
| 100. <i>General</i> | |

Thomas Naratil

F. Colbert

System for trading fixed income financial instruments: U.S. treasury securities, liquid agencies and zero coupon strips

PTO-2040
12/99

ISSUING CLASSIFICATION

[illegible]☐ Continued on Issue Slip Inside File Jacket

| | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------|-----------------------------------|--------------|
| <input type="checkbox"/> TERMINAL DISCLAIMER | DRAWINGS | | CLAIMS ALLOWED | |
| | Sheets Drwg. | Figs. Drwg. | Print Fig. | Total Claims |
| <input type="checkbox"/> The term of this patent subsequent to _____ (date) has been disclaimed. | _____ (Assistant Examiner) | | NOTICE OF ALLOWANCE MAILED | |
| <input type="checkbox"/> The term of this patent shall not extend beyond the expiration date of U.S Patent. No. _____ _____ _____ | _____ (Primary Examiner) | | ISSUE FEE | |
| | | | Amount Due | Date Paid |
| <input type="checkbox"/> The terminal ____ months of this patent have been disclaimed. | _____ (Legal Instruments Examiner) | | ISSUE BATCH NUMBER | |
| WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only. | | | | |

Form PTO-436A
(Rev. 6/99)

FILED WITH: ☐ DISK (CRF) ☐ FICHE ☐ CD-ROM
(Attached in pocket on right inside flap)

(FACE)